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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/825,533

LAHIVE & COCKFIELD

28 STATE STREET

BOSTON, MA 02109

04/02/2001

Michael R. Hufford

IVQ-002

CONFIRMATION NO. 9781

FORMALITIES LETTER

OC000000006139193

Date Mailed: 06/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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* Admitted in NY only
** Passed the Patent Bar Examination

July 30, 2001

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application Serial No. 09/825,533

Entitled: System for Clinical Trial Subject Compliance

Applicants: Michael R. Hufford, et al.

Filed: April 2, 2001

Attorney Docket No.: IVQ-002

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Response to Notice To File Missing Parts of NonProvisional Application (2pgs);
- 2. Copy of the Notice To File Missing Parts of NonProvisional Application (1pg);
- 3. Executed Declaration, Petition and Power of Attorney (6pgs);
- 4. Check in the amount of \$65.00 (surcharge fee); and
- 5. Return Postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on:

July 30, 2001

Date

David J. Rikkers, Reg. No. 43,882

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

David J. Rikkers Reg. No. 43,882

Attorney for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Hufford, et al.

Serial No.: 09/825,533

Filed: April 2, 2001

FOR: SYSTEM FOR CLINICAL TRIAL SUBJECT

COMPLIANCE

Attorney Docket No.: IVQ-002

Group Art Unit: 2171

Examiner: Not Yet Assigned

BOX MISSING PARTS Commissioner for Patents

Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

July 30, 2001

Date of Signature and of Mail Deposit

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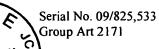
David J. Rikkers, Reg. No. 43,882

Attorney for Applicants

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated June 1, 2001, Applicants' agent submits herewith the executed Declaration, Petition and Power of Attorney for the above-identified patent application. A check in the amount of \$65.00 for the surcharge fee is enclosed.



Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

David J. Rikkers, Reg. No. 43,882

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Dated: July 30, 2001